



Yunfeng Financial Group Limited
雲鋒金融集團有限公司
(Incorporated in Hong Kong with limited liability)
(Stock Code: 376)

SHAREHOLDERS COMMUNICATION POLICY
(the “Policy”)

1. PURPOSE

1.1 This Policy aims to ensure that the shareholders (the “Shareholders”) of Yunfeng Financial Group Limited (the “Company”), and, in appropriate circumstances, potential investors or financial analysts (collectively the “Investors”) are provided with ready, equal and timely access to balanced and understandable information about the Company, in order to enable the Shareholders to exercise their rights in an informed manner, and to allow the Shareholders and the Investors to engage actively with the Company.

2. GENERAL POLICY

2.1 The Board will maintain an on-going dialogue with the Shareholders and the Investors and will regularly review the Policy to ensure its effectiveness.

2.2 Information will be communicated to the Shareholders and the Investors mainly through the Company’s financial reports (interim and annual reports), annual general meetings and other general meetings that may be convened, as well as by making available the disclosures submitted to The Stock Exchange of Hong Kong Limited (the “Stock Exchange”) and its corporate communication* and other corporate publications on the websites of the Stock Exchange and the Company.

2.3 Effective and timely dissemination of information to the Shareholders and the Investors will be ensured at all times. Any question regarding the Policy will be directed to the Company Secretary.

3. COMMUNICATION STRATEGIES

Shareholders' enquiries

3.1 The Shareholders should direct their questions about their shareholdings to the Company's share registrar, particulars of which were set out in the annual reports of the Company.

Shareholders' Rights

3.2 Information on the Shareholders' rights which includes (i) the way in which the Shareholders can convene an extraordinary general meeting; (ii) the procedures by which enquiries may be put to the Board and sufficient contact details to enable these enquiries to be properly directed; and (iii) the procedures and sufficient contact details for putting forward proposals at general meetings shall be made available by the Company in the corporate governance report as contained in its annual report.

Corporate Communication*

3.3 Corporate communication* will be provided to the Shareholders in plain language and in both English and Chinese versions to facilitate the Shareholders' understanding.

3.4 To promote timely and effective communication of information and to support environmental protection, the Company has offered shareholders the option to receive the Corporate Communication in electronic form in lieu of receiving printed copies. Shareholders are encouraged to opt in to receive the Company's Corporate Communications published on the Company's website in electronic form and to provide the Company with an email address to receive email notifications of the publication of the Corporate Communications.

Corporate Website

3.5 Information on the Company is available on the Company's website (www.yff.com).

3.6 Information released by the Company to the Stock Exchange is also posted on the Company's website immediately thereafter. Such information includes financial statements, announcements, circulars and notices of general meetings and associated explanatory documents etc.

Shareholders' Meetings

3.7 The Shareholders are encouraged to participate in general meetings or to appoint proxies to attend and vote at meetings for and on their behalf if they are unable to attend the meetings, during which the Shareholders can have a good communication with the Company.

3.8 The Board will closely monitor and review the process of the Company's general meeting and, if necessary, changes will be made to ensure that Shareholders' needs are best served.

3.9 The Board members, including the chairman of the Board and the chairmen of Board committees, appropriate management executives and external auditors should attend annual general meetings to answer the Shareholders' enquiries.

4. SHAREHOLDER PRIVACY

4.1 The Company recognises the importance of the Shareholders' privacy and will not disclose the Shareholders' information without their consent, unless required by laws to do so.

5. REVIEW OF THIS POLICY

5.1 The Board will review this Policy on an annual basis to ensure its effectiveness and detail how it arrived at its conclusion.

*Corporate Communication refers to any document issued or to be issued by the Company for the information or action of holders of any of its securities, including, but not limited to, the directors' report and annual accounts together with a copy of the auditor's report, the interim report, a notice of meeting, a circular and a proxy form.

May 2022

The English text shall prevail over the Chinese text in case of any inconsistency.